

# Legal and Compliance Network Meeting

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## Meeting agenda

October 24-25, 2019 | Conrad Hotel | Chicago | Lincoln, 20<sup>th</sup> Floor

Thursday, October 24, 2019

- 7 am – 8 am                    **Registration & Networking Breakfast** (Baptiste South, 20<sup>th</sup> Floor)
- 8 am – 8:15 am                **Welcome**
- *Brian Bates, Chief Compliance Officer, UAB Medicine, Legal and Compliance Network Chairperson*
  - *Shaifali Ray, Senior Networks Director, Network Services, Vizient University Health System Consortium*
- 8:15 am – 9:15 am            **Attendee Introductions and Networking**
- Members will have the opportunity to meet one another and share an example of a current topic or pressing issue they are working on at their organization. Use this session as a chance to identify colleagues that you will want to continue networking with throughout the meeting!
- 9:15 am – 9:45 am            **Legal and Compliance Network Year in Review**
- This session will review the progress on two projects that have been underway since the 2018 Legal and Compliance Network meeting. Learn more about the new Risk Identification and Heat Map (RIHM) tool and guidelines related to data sharing for research and how these resources can help your organization mitigate risk and manage compliance.
- *Brian Bates, Chief Compliance Officer, UAB Medicine/UAB Health System, Legal and Compliance Network Chairperson*
  - *Brent Wilson, Deputy Chief Compliance Officer, University of Utah Health*
- 9:45 am – 10:15 am         **Networking Break and Community Demo**
- 10:15 am – 11:15 am        **Title IX Limbo: Steps to Take While We Wait for the New Title IX Regulations**
- This session will provide an update on the latest legal developments relating to Title IX, including the status of the proposed Title IX regulations and recent case law. The session will also describe steps that colleges and universities can take now in preparation for the new regulations.
- *Debbie Osgood, Partner, Hogan Marren Babbo & Rose, Ltd*
- 11:15 am – 12 pm            **Lessons Learned from the Trenches: Protecting the Organization in High Risk Situations**
- This presentation will provide an overview of the macro reputational risks facing healthcare organizations today, including the rise of digital/social media, how data security has moved from the backroom to the boardroom, and how healthcare brands are often in the hands of front-line employees. This engaging, interactive session will provide key learnings and best practices in crisis management and use relevant case studies ripped from the headlines to demonstrate the “do’s” and “don’ts” of effective crisis communications. Participants will leave with key

takeaways to bring back to their organizations to help enhance crisis communications preparedness and readiness for high risk situations.

- *Jamie Singer, Senior Vice President, Crisis & Risk Management, Edelman*

12 pm - 1 pm

**Networking Lunch** (Baptiste South, 20th Floor)

1 pm – 1:45 pm

**Practical Strategies to Mitigate Information and Cybersecurity Risk**

This session will describe strategies being used by UAB Medicine to mitigate risks related to privacy and security. Topics discussed include mitigating risk related to data breaches, managing BYOD and data encryption processes and establishing successful methods for prevention detection and data loss.

- *Brian Bates, Chief Compliance Officer, UAB Medicine*
- *Tom Walsh, Founder and Managing Partner, tw-Security*

1:45 pm – 2:30 pm

**Strategies and Insights into Effective Drug Diversion Management Programs**

This presentation will discuss one health system's journey to prevent, detect and respond to drug diversion. The presenter will share key learnings, including the role of data, investigatory interviewing, access to treatment and root causes analysis.

- *Carol Purcell, Controlled Substance Diversion Prevention Program Manager, Michigan Medicine*

2:30 pm – 3:15 pm

**Proactive Due Diligence Strategies Related to New Ventures**

As new ventures, mergers and acquisitions continue in the healthcare industry, establishing effective strategies for conducting legal and compliance evaluations and due diligence is more important than ever. This session will describe pre and post-closure best practices related to physician practice and hospital acquisitions. The presenters will share scenarios of consideration, due diligence, and impact to compliance areas when a healthcare system enters a joint venture, actual merger, or other types of arrangements between healthcare providers and systems

- *Anne Adams, Chief Compliance Officer, Chief Privacy Officer, Associate VP Clinical Trial Compliance, Emory Healthcare*
- *Douglas Swill, Partner, Drinker Biddle & Reath, LLP*

3:15 pm – 3:45 pm

**Networking Break and Community Demo**

3:45 pm – 4:45 pm

**Clinical Trials and the EHR**

How do organizations effectively operationalize using data from the EHR for clinical trials? Who is involved in the decision-making, what policies are needed, what standards are developed related to staff access to the data and how do organizations ensure they are meeting the regulatory requirements? This presentation will highlight examples to help answer these questions.

- *Melissa Habrat, Director, Research Information Technologies, UW Medicine IT Services, University of Washington*

4:45 pm – 5 pm

**Closing Remarks**

- *Brian Bates, Chief Compliance Officer, UAB Medicine/UAB Health System, Legal and Compliance Network Chairperson*

5:30 pm

**Networking Dinner** (Fig and Olive, 104 E Oak Street, Chicago)

## Friday, October 25, 2019

- 7 am – 8 am                    **Networking Breakfast** (Baptiste South, 20th Floor)  
**Risk Hotspotting and Identification Tool Demo** (Lincoln, 20<sup>th</sup> Floor)
- 8 am – 8:15 am                **Welcome and Opening Remarks** (Lincoln, 20<sup>th</sup> Floor)
- *Brian Bates, Chief Compliance Officer, UAB Medicine/UAB Health System, Legal and Compliance Network Chairperson*
- 8:15 am – 9 am                **Peer Pod Discussion Tables**
- As a follow-up to the previous day's educational sessions, participants will have the opportunity to network with peers in facilitated smaller discussion groups to share examples of how their own organizations are addressing the following topics:
- **Title IX** (*Jeff Blumenthal, Interim Chief Counsel, UConn Health*)
  - **Due Diligence Related to New Ventures** (*Anne Adams, Chief Compliance Officer, Emory Healthcare*)
  - **Protecting the Organization in High Risk Situations** (*Claire Black, Senior Director, Risk Management, UAB Medicine*)
  - **Information and Cybersecurity Risk** (*Brian Bates, Chief Compliance Officer, UAB Medicine*)
  - **Drug Diversion Management** (*Carol Purcell, Diversion Prevention Program Manager, Michigan Medicine*)
- 9 am – 10:45 am                **AMC Legal and Compliance Departments: Past, Present and Future**
- During this session, attendees will have the option to participate in one of two discussion groups that will focus on developing recommendations for meaningful performance measures AMCs can use to lead efficient, high performing legal and compliance departments into the future. Each group will engage in a facilitated dialogue to create a framework for the criteria, measurement and benchmarks for performance metrics. Following the breakout discussions, the groups will come together to share a recap of the ideas and discuss next steps.
- Legal Department Discussion Group** (Roosevelt, 19<sup>th</sup> Floor)
- Facilitators
- *Cynthia Alcantara, JD, Senior Associate University Counsel, University of Illinois*
  - *Troy Barron, JD, Associate General Counsel, Vizient*
  - *Mary Link, JD, Vice President, Deputy General Counsel, Legal Operations, UW Health*
- Compliance Department Discussion Group** (Lincoln, 20<sup>th</sup> Floor)
- Facilitators
- *Shaifali Ray, Senior Networks Director, Network Services, Vizient University Health System Consortium*
  - *Jeanne Strickland, Chief Compliance Officer, Michigan Medicine*
- 10:45 am – 11 am                **Discussion Group Reports Outs** (Lincoln, 20<sup>th</sup> Floor)
- 11 am                                **Closing Remarks and Adjournment**
- *Brian Bates, Chief Compliance Officer, UAB Medicine/UAB Health System, Legal and Compliance Network Chairperson*

Designation Statements:

CLE/MCLE

This activity has been approved for 4.0 Continuing Legal Education credits.

CEU

Vizient, Inc. will award CEUs to each participant who successfully completes this program. The CEU is a nationally recognized unit of measure for continuing education and training programs that meet certain criteria (1 contact hour = 0.1 CEU).